

प्रेषक,

मनोज कुमार,  
विशेष सचिव,  
उत्तर प्रदेश शासन।

सेवा में,

1. कुलसचिव,  
समस्त राज्य विश्वविद्यालय,  
उत्तर प्रदेश।
2. निदेशक,  
उच्च शिक्षा, उत्तर प्रदेश,  
प्रयागराज।

लखनऊ : दिनांक : 15 अक्टूबर, 2019

उच्च शिक्षा अनुभाग-1

विषय- "विश्वविद्यालय अनुदान आयोग, विश्वविद्यालय और महाविद्यालयों में शिक्षकों और अन्य शैक्षिक कर्मचारियों की नियुक्ति हेतु न्यूनतम अर्हता तथा उच्चतर शिक्षा में मानकों के रख-रखाव हेतु अन्य उपाय सम्बन्धी विनियम, 2018" के सम्बन्ध में।

महोदय,

"विश्वविद्यालय अनुदान आयोग, विश्वविद्यालय और महाविद्यालयों में शिक्षकों और अन्य शैक्षिक कर्मचारियों की नियुक्ति हेतु न्यूनतम अर्हता तथा उच्चतर शिक्षा में मानकों के रख-रखाव हेतु अन्य उपाय सम्बन्धी विनियम, 2018" के विनियमों को शासनादेश संख्या-600/सत्तर-1-2019-16(114)/2010 दिनांक 28 जून, 2019 द्वारा शासनादेश में उल्लिखित शर्तों एवं प्रतिबन्धों के अधीन उच्च शिक्षा विभाग के अन्तर्गत स्थापित राज्य विश्वविद्यालयों एवं महाविद्यालयों के शिक्षकों के संदर्भ में लागू किये जाने का निर्णय लिया गया तथा उत्तर प्रदेश राज्य विश्वविद्यालय अधिनियम, 1973 की धारा 50 की उपधारा (6) के अन्तर्गत राज्य सरकार को प्राप्त शक्तियों का प्रयोग करते हुये समस्त राज्य विश्वविद्यालयों की प्रथम परिनियमावलियों में सम्मिलित करते हुये समस्त राज्य विश्वविद्यालय से विनियमों को अपने परिनियमों में यथा स्थान समाविष्ट करते हुये दिनांक 30 जून, 2019 के पूर्व अनुपालन आख्या शासन को उपलब्ध कराये जाने के निर्देश दिये गये।

2- उक्त शासनादेश के अनुपालन में राज्य विश्वविद्यालयों के स्तर पर परिनियमों में सम्मिलित करने एवं अनुपालन आख्या शासन को निर्धारित समयान्तर्गत प्रेषित नहीं की गयी है। अतः उत्तर प्रदेश राज्य विश्वविद्यालय अधिनियम, 1973 की धारा 50 की उपधारा (6) के अन्तर्गत राज्य सरकार को प्राप्त शक्तियों का प्रयोग करते हुये समस्त राज्य विश्वविद्यालयों की प्रथम परिनियमावलियों में शासनादेश संख्या-600/सत्तर-1-2019-16(114)/2010 दिनांक 28 जून, 2019 में उल्लिखित शर्तों एवं प्रतिबन्धों के अधीन "विश्वविद्यालय अनुदान आयोग, विश्वविद्यालय और महाविद्यालयों में शिक्षकों और अन्य शैक्षिक कर्मचारियों की नियुक्ति हेतु न्यूनतम अर्हता तथा उच्चतर शिक्षा में मानकों के रख-रखाव हेतु अन्य उपाय सम्बन्धी विनियम, 2018" को सम्मिलित करने के आदेश एतद्वारा प्रदान किये जाते हैं। शासनादेश संख्या-600/सत्तर-1-2019-16(114)/2010 दिनांक 28 जून, 2019 के संदर्भ में निर्मित परिनियमों की प्रति संलग्न है।

संलग्नक-यथोपरि।

भेषदीय,  
(मनोज कुमार)  
विशेष सचिव

संख्या-

(1) / सत्तर-1-2019-तददिनांक

प्रतिलिपि निम्नलिखित को सूचनार्थ एवं आवश्यक कार्यवाही हेतु प्रेषित:-

- 1- सचिव, भारत सरकार, मानव संसाधन विकास मंत्रालय, उच्च शिक्षा विभाग, नई दिल्ली।
- 2- सचिव, विश्वविद्यालय अनुदान आयोग, बहादुरशाह जफर मार्ग, नई दिल्ली।
- 3- महालेखाकार, उत्तर प्रदेश, इलाहाबाद।
- 4- अपर मुख्य सचिव, माननीय राज्यपाल एवं कुलाधिपति, उत्तर प्रदेश।
- 5- कुलपति, समस्त राज्य विश्वविद्यालय, उत्तर प्रदेश।
- 6- वित्त अधिकारी, समस्त राज्य विश्वविद्यालय, उत्तर प्रदेश।
- 7- वित्त नियंत्रक, निदेशालय, उच्च शिक्षा विभाग, उत्तर प्रदेश, इलाहाबाद।
- 8- समस्त क्षेत्रीय उच्च शिक्षा अधिकारी, उत्तर प्रदेश।
- 9- वित्त (व्यय) नियंत्रण अनुभाग-11, उत्तर प्रदेश शासन।
- 10- वित्त संसाधन (केन्द्रीय सहायता) अनुभाग, उत्तर प्रदेश शासन।
- 11- समस्त अनुभाग, उच्च शिक्षा विभाग, उत्तर प्रदेश शासन।
- 12- अपर सचिव, उत्तर प्रदेश राज्य उच्च शिक्षा परिषद्, इंदिरा भवन, लखनऊ को इस निर्देश के साथ प्रेषित कि इस शासनादेश को समस्त सम्बन्धित को ई-मेल के माध्यम से प्रेषित करें तथा शासन को अवगत करायें।
- 13- गार्ड फाइल।

आज्ञा से,

(हरेन्द्र कुमार सिंह)  
अनुसचिव

**ANNEXURE OF GOVERNMENT ORDER NO.1190 / 70-1-2019-16(114)/2010 DATED 15 OCTOBER, 2019**

First Statutes of the State Universities of Uttar Pradesh established under the Uttar Pradesh State Universities Act, 1973 in reference to "UGC Regulations On Minimum Qualifications For Appointment Of Teachers And Other Academic Staff In Universities And Colleges And Measures For The Maintenance Of Standards In Higher Education, 2018"

"UGC Regulations On Minimum Qualifications For Appointment Of Teachers And Other Academic Staff In Universities And Colleges And Measures For The Maintenance Of Standards In Higher Education, 2018" has been issued by UGC vide its notification No. F.1-2/2017(EC/PS), in exercise of the powers conferred under clause (e) and (g) of sub-section(I) of Section 26 read with Section 14 of the University Grants Commission Act, 1956 (3 of 1956), and in supersession of the "UGC Regulations on Minimum qualifications for Appointment of Teachers and other Academic Staff in Universities and Colleges and Measures for the Maintenance of Standards in Higher Education 2010" (Regulation No.F.3-1/2009 dated 30th June, 2010) together with all amendments made therein from time to time by the University Grants Commission.

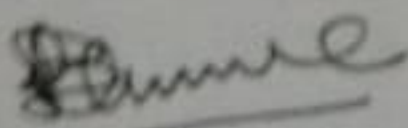
In reference to UGC regulations 2018 Department of Higher Education, Government of Uttar Pradesh has adopted the said UGC regulation, 2018 vide Government order no.600/70-1-2019-16(114)/2010 dated June 28, 2019 (Appendix-IV) as under :-

**Short title, application and commencement:**

1. These shall apply to every University established or incorporated by or under Uttar Pradesh Act, every Institution including a Constituent or an affiliated College recognized by the State Universities, and Institution deemed to be a Universities.
2. These shall come into force from 28<sup>th</sup> June, 2019.
3. Pay scales as notified by the Government of India notification dated November 2, 2017 and November 8, 2017 has been adopted by Government order no.1124/70-4-2018-358(VIOVe0Aa0)/2018 dated September 13, 2018(Appendix-V).
4. Age of superannuation shall be as provided by orders of State Government issued from time to time.
5. Appointment of retired teachers in State Universities on the basis of honorarium shall be made under Government order no.1/2018/09/70-1-2018-16(58)/2017 dated January 18, 2018(Appendix-VI). Similarly Appointment of retired teachers in the colleges affilated to the State Universities on the basis of honorarium shall be made under Government order no.269/70-2-2014-16(246)/2010 dated April 24, 2014 as amended vide Government order no.3/2018/09/70-1-2018-16(58)/2017 dated January 18, 2018(Appendix-VII).
6. The Minimum Qualifications for appointment and other service conditions of University and College teachers, Librarians, and Directors of Physical Education and Sports as a measure for the maintenance of standards in higher education, shall be as provided in these Statutes.
7. If any University contravenes the provisions of these Statutes, the State Government after taking into consideration the cause, if any, shown by the University for such failure or contravention, may withhold from the University, the grants proposed to be made out of the Fund of the State Government.
8. In UGC Regulations, 2018, the Ph.D. Degree has been made a mandatory qualification for direct recruitment to the post of Assistant Professor in Universities with effect from 01.07.2021. This regulation may be adopted in Uttar Pradesh after calculation of availability of Ph.D. Degree holders in the State.

**MINIMUM QUALIFICATIONS FOR THE POSTS OF SENIOR PROFESSOR, PROFESSORS AND TEACHERS, AND OTHER ACADEMIC STAFF IN UNIVERSITIES AND COLLEGES AND REVISION OF PAY SCALES AND OTHER SERVICE CONDITIONS PERTAINING TO SUCH POSTS-**

The minimum qualifications for appointment and other service conditions of University and College teachers and cadres of Librarians, Directors of Physical Education and Sports for maintenance of standards in higher education and revision of pay-scales shall be;

  
7.5.10.119

- 1.1 For the purposes of direct recruitment to teaching posts in disciplines relating to university and collegiate education, interalia in the fields of health, medicine, special education, agriculture, veterinary and allied fields, technical education, teacher education, norms or standards laid down by authorities established by the relevant Act of Parliament under article 246 of the Constitution for the purpose of co-ordination and determination of standards in institutions for higher education or research and scientific and technical institutions, shall prevail;
- i. Provided that where no such norms and standards have been laid down by any regulatory authority, UGC Regulations herein shall be applicable till such time as any norms or standards are prescribed by the appropriate regulatory authority.
  - ii. Provided further that for appointment to the post of Assistant Professor and equivalent positions pertaining to disciplines in which the National Eligibility Test (NET), conducted by the University Grants Commission or Council of Scientific and Industrial Research as the case may be, or State level Eligibility Test (SLET) or the State Eligibility Test (SET), conducted by bodies accredited by the UGC for the said purpose, qualifying in NET/SLET/SET shall be an additional requirement.

## 2.0 Recruitment and Qualifications

2.1 The direct recruitment to the posts of Assistant Professor, Associate Professor and Professor in the Universities and Colleges, and Senior Professor in the Universities, shall be on the basis of merit through an all-India advertisement, followed by selection by a duly-constituted Selection Committee as per the provisions made under The Uttar Pradesh State Universities Act, 1973 as amended from time to time.

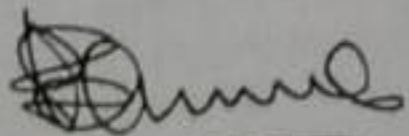
2.2 The minimum qualifications required for the post of Assistant Professor, Associate Professor, Professor, Senior Professor, Principal, Assistant Librarian, Deputy Librarian, Librarian, Assistant Director of Physical Education and Sports, Deputy Director of Physical Education and Sports and Director of Physical Education and Sports, shall be as specified by the UGC Regulations On Minimum Qualifications For Appointment Of Teachers And Other Academic Staff In Universities And Colleges And Measures For The Maintenance Of Standards In Higher Education, 2018.

i. The National Eligibility Test (NET) or an accredited test (State Level Eligibility Test SLET/SET) shall remain the minimum eligibility for appointment of Assistant Professor and equivalent positions wherever provided in these Statutes. Further, SLET/SET conducted by Uttar Pradesh shall be valid as the minimum eligibility for direct recruitment to Universities/Colleges/Institutions in the Uttar Pradesh only:

Provided that candidates who have been awarded a Ph.D. Degree in accordance with the University Grants Commission (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degree) Regulation, 2009, or the University Grants Commission (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degree) Regulation, 2016, and their subsequent amendments from time to time, as the case may be, shall be exempted from the requirement of the minimum eligibility condition of NET/SLET/SET for recruitment and appointment of Assistant Professor or any equivalent position in any University, College or Institution.

Provided further that the award of degree to candidates registered for the M.Phil/Ph.D. programme prior to July 11, 2009, shall be governed by the provisions of the then existing Ordinances / Bye-laws / Regulations of the Institutions awarding the degree. All such Ph.D. candidates shall be exempted from the requirement of NET/SLET/SET for recruitment and appointment of Assistant Professor or equivalent positions in Universities/Colleges/Institutions subject to the fulfillment of the following conditions:

- a) The Ph.D. degree of the candidate has been awarded in regular mode only;
- b) The Ph.D. thesis has been awarded by at least two external examiners;
- c) An open Ph.D. viva voce of the candidate has been conducted;
- d) The candidate has published two research papers from his/her Ph.D. work out of which at least one is in a refereed journal;



15/10/19

- e) The candidate has presented at least two papers, based on his/her Ph.D. work in conferences/seminars sponsored/funded/supported by the UGC/ ICSSR/CSIR or any similar agency.

The fulfilment of these conditions is to be certified by the Registrar or the Dean (Academic Affairs) of the University concerned.

- ii. The clearing of NET/SLET/SET shall not be required for candidates in such disciplines for which NET/SLET/SET has not been conducted.
- 2.3 A minimum of 55% marks (or an equivalent grade in a point-scale, wherever the grading system is followed) at the Master's level shall be the essential qualification for direct recruitment of teachers and other equivalent cadres at any level.
- i. A relaxation of 5% shall be allowed at the Bachelor's as well as at the Master's level for the candidates belonging to Scheduled Caste/Scheduled Tribe/Other Backward Classes (OBC)(Non-creamy Layer)/Differently abled ((a) Blindness and low vision; (b) Deaf and Hard of Hearing; (c) Locomotor disability including cerebral palsy, leprosy cured, dwarfism, acid-attack victims and muscular dystrophy; (d) Autism, intellectual disability, specific learning disability and mental illness; (e) Multiple disabilities from amongst persons under (a) to (d) including deaf-blindness) for the purpose of eligibility and assessing good academic record for direct recruitment. The eligibility marks of 55% marks (or an equivalent grade in a point scale wherever the grading system is followed) and the relaxation of 5% to the categories mentioned above are permissible, based only on the qualifying marks without including any grace mark procedure.
- 2.4 A relaxation of 5% shall be provided, (from 55% to 50% of the marks) to the Ph.D. Degree holders who have obtained their Master's Degree prior to 19 September, 1991.
- 2.5 A relevant grade which is regarded as equivalent of 55%, wherever the grading system is followed by a recognized university, at the Master's level shall also be considered valid.
- 2.6 The Ph.D. Degree shall be a mandatory qualification for appointment and promotion to the post of Professor.
- 2.7 The Ph.D. Degree shall be a mandatory qualification for appointment and promotion to the post of Associate Professor.
- 2.8 The Ph.D. Degree shall be a mandatory qualification for promotion to the post of Assistant Professor (Selection Grade/Academic Level 12) in Universities.
- 2.9 The time taken by candidates to acquire M.Phil. and / or Ph.D. Degree shall not be considered as teaching/ research experience to be claimed for appointment to the teaching positions. Further the period of active service spent on pursuing Research Degree simultaneously with teaching assignment without taking any kind of leave, shall be counted as teaching experience for the purpose of direct recruitment/ promotion. Regular faculty members upto twenty per cent of the total faculty strength (excluding faculty on medical / maternity leave) shall be allowed by their respective institutions to take study leave for pursuing Ph.D. degree.

#### 2.10 Qualifications:

No person shall be appointed to the post of University and College teacher, Librarian or Director of Physical Education and Sports, in any university established under Uttar Pradesh State Universities Act, 1973, every Institution including a Constituent or an affiliated College recognized by the State Universities, and Institution deemed to be a University, if such person does not fulfil the requirements as to the qualifications for the appropriate post as provided in these Statutes.

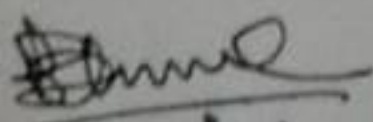
#### 3.0 Direct Recruitment

3.1 For the Disciplines of Arts, Commerce, Humanities, Education, Law, Social Sciences, Sciences, Languages, Library Science, Physical Education, and Journalism & Mass Communication.

##### i. Assistant Professor:

##### Eligibility (A or B) :

- A. i) A Master's degree with 55% marks (or an equivalent grade in a point-scale wherever the grading system is followed) in a concerned/relevant/allied subject from an Indian University, or an equivalent degree from an accredited foreign university.
- ii) Besides fulfilling the above qualifications, the candidate must have cleared the National Eligibility Test (NET) conducted by the UGC or the CSIR, or a similar test accredited by the UGC.

  
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like SLET/SET or who are or have been awarded a Ph. D. Degree in accordance with the University Grants Commission (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degree) Regulations, 2009 or 2016 and their amendments from time to time as the case may be exempted from NET/SLET/SET :

Provided, the candidates registered for the Ph.D. programme prior to July 11, 2009, shall be governed by the provisions of the then Statutes awarding the degree and such Ph.D. candidates shall be exempted from the requirement of NET/SLET/SET for recruitment and appointment of Assistant Professor or equivalent positions in Universities/Colleges/Institutions subject to the fulfillment of the following conditions :-

- a) The Ph.D. degree of the candidate has been awarded in a regular mode;
- b) The Ph.D. thesis has been evaluated by at least two external examiners;
- c) An open Ph.D. viva voce of the candidate has been conducted;
- d) The Candidate has published two research papers from his/her Ph.D. work, out of which at least one is in a refereed journal;
- e) The candidate has presented at least two papers based on his/her Ph.D work in conferences/seminars sponsored/funded/supported by the UGC/ICSSR/ CSIR or any similar agency.

The fulfilment of these conditions is to be certified by the Registrar or the Dean (Academic Affairs) of the University concerned.

Note: NET/SLET/SET shall also not be required for such Masters Programmes in disciplines for which NET/SLET/SET is not conducted by the UGC, CSIR or similar test accredited by the UGC, like SLET/SET.

OR

- B. The Ph.D degree has been obtained from a foreign university/institution with a ranking among top 500 in the World University Ranking (at any time) by any one of the following:
- (i) Quacquarelli Symonds (QS)
  - (ii) the Times Higher Education (THE) or
  - (iii) the Academic Ranking of World Universities (ARWU) of the Shanghai Jiao Tong University (Shanghai).

Note: The Academic score as specified in Appendix II (Table 3A) for Universities, and Appendix II (Table 3B) for Colleges, shall be considered for short-listing of the candidates for interview only, and the selections shall be based only on the performance in the interview.

## II. Associate Professor:

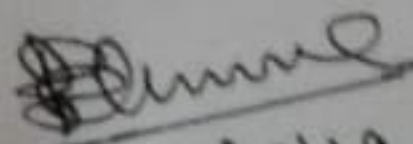
### Eligibility:

- i) A good academic record, with a Ph.D. Degree in the concerned/allied/relevant disciplines.
- ii) A Master's Degree with at least 55% marks (or an equivalent grade in a point-scale, wherever the grading system is followed).
- iii) A minimum of eight years of experience of teaching and/or research in an academic/research position equivalent to that of Assistant Professor in a University, College or Accredited Research Institution/industry with a minimum of seven publications in the peer-reviewed or UGC-listed journals and a total research score of Seventy five (75) as per the criteria given in Appendix II, Table 2. III.

### Professor:

#### Eligibility (A or B) :

- A. i) An eminent scholar having a Ph.D. degree in the concerned/allied/relevant discipline, and published work of high quality, actively engaged in research with evidence of published work with, a minimum of 10 research publications in the peer-reviewed or UGC-listed journals and a total research score of 120 as per the criteria given in Appendix II, Table 2.
- ii) A minimum of ten years of teaching experience in university/college as Assistant Professor/Associate Professor/Professor, and /or research experience at equivalent level at the University/National Level Institutions with evidence of having successfully guided doctoral candidate.

  
15/10/19

OR

- B. An outstanding professional, having a Ph.D. degree in the relevant/allied/applied disciplines, from any academic institutions (not included in A above) / industry, who has made significant contribution to the knowledge in the concerned/allied/relevant discipline, supported by documentary evidence provided he/she has ten years' experience.

IV. Senior Professor in Universities

Up to 10 percent of the existing sanctioned strength of Professors in the university may be appointed as Senior Professor in the universities, through direct recruitment.

Eligibility:

- An eminent scholar with good track record of high-quality research publications in Peer-reviewed or UGC listed journals, significant research contribution to the discipline, and engaged in research supervision.
- A minimum of ten years of teaching/research experience as Professor or an equivalent grade in a University, College or an institute of national level.
- The selection shall be based on academic achievements, favourable review from three eminent subject experts who are not less than the rank of Senior Professor or a Professor of at least ten years experience.
- The selection shall be based on ten best publications in the Peer-reviewed or UGC - listed journals and award of Ph.D degrees to at least two candidates under his/her supervision during the last 10 years and interaction with the Selection Committee constituted as per the UGC Regulations.

V. College Principal and Professor (Professor's Grade)

A. Eligibility:

- Ph.D. degree
  - Professor/Associate Professor with a total service/ experience of at least fifteen years of teaching/research in Universities, Colleges and other institutions of higher education.
  - A minimum of 10 research publications in peer-reviewed or UGC-listed journals.
  - A minimum of 110 Research Score as per Appendix II, Table 2
- Provided that the general eligibility criteria for the Principal of unaided and self financed colleges shall be as provided by Government order no. 6/2018/597/ 70-1-2018-16(123)/2015 dated August 16, 2018(Appendix-VIII).

3.2. Music, Performing Arts, Visual Arts and Other Traditional Indian Art Forms like Sculpture, etc.

I. Assistant Professor:

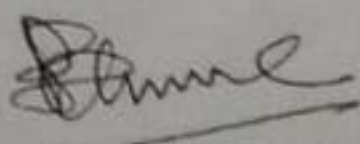
Eligibility (A or B):

A.

- Master's Degree with 55% marks (or an equivalent grade in a point scale wherever grading system is followed) in the relevant subject or an equivalent degree from an Indian/foreign University.
- Besides fulfilling the above qualifications, the candidate must have cleared the National Eligibility Test (NET) conducted by the UGC, CSIR or similar test accredited by the UGC like SLET/SET or who are or have been awarded a Ph. D. Degree in accordance with the University Grants Commission (Minimum Standards and Procedure for Award of M.Phil./Ph.D. Degree) Regulations, 2009 or 2016 and their amendments from time to time as the case may be.

Provided further, candidates registered for the Ph.D. programme prior to July 11, 2009, shall be governed by the provisions of the then existing Ordinances / Bye-laws / Regulations of the Institutions awarding the degree and such Ph.D. candidates shall be exempted from the requirement of NET/SLET/SET for recruitment and appointment of Assistant Professor or equivalent positions in Universities/Colleges /Institutions subject to the fulfilment of the following conditions:

- Ph.D. degree has been awarded to the candidate in a regular mode
- The Ph.D. thesis has been evaluated by at least two external examiners;
- An open Ph.D. viva voce of the candidate had been conducted;

  
15/10/19